



Commitment. Trust. Continuity

CT CLSA Asset Management Pvt Ltd
4th Floor, Majestic City, 10 Station Road,
Colombo 4, Sri Lanka.
Tel : 94-11-2506204/2506347/5759570
www.ctclsal.lk

NOTE : CASH CAN BE ACCEPTED ONLY AT
THE OFFICE OF CT CLSA ASSET
MANAGEMENT (PVT) LTD

UNIT TRUST APPLICATION

SELECT (X) FUND

HIGH YIELD FUND

EQUITY FUND

GILT EDGED FUND

I / We / on behalf of a minor apply for units in the above selected Fund subject to the terms and conditions stated in the explanatory memorandum

Amount

Cash/Cheque/Draft No.

Date

APPLICANTS INFORMATION

Full Name of First / Sole Applicant / Minor / Mr. / Mrs. / Ms / Messrs*

Address of First/ Sole Applicant/ Minor*

Date of Birth/ Incorporation

Occupation

Telephone

NIC/ Passport/ Registration

Nationality

Email

Dividends of these units may be (Please X the relevant cage)

Sent To My Address

Re-Invested into Units

Sent To My Bank

Account No.

Bank

Branch

JOINT APPLICANTS INFORMATION

Full Name of Joint Applicant / Minor / Mr. / Mrs. / Ms / Messrs

Address of Joint Applicant/ Minor

Date of Birth/ Incorporation

Occupation

Telephone

NIC/ Passport/ Registration

Nationality

I/ We confirm, that this investment is made on the understanding that prices of units could move up as well as down from time to time due to market fluctuations, as explained in the Explanatory Memorandum

Signature of First/ Sole Applicant

Joint Applicant/Guardian

Date

RECEIPT (to be filled By Applicant)

FOR AGENTS USE ONLY

Received the sum of Sri Lankan Rs.

In Words as indicated above

Authorized Signature & Date

Registrar's Signature

OFFICE USE ONLY

Amount Credited

Date of Realisation

Offer Price Rs.

Number of Units Issued

Prepared By

Checked By

Authorised By

Terms & Conditions

Please read the funds Explanatory Memorandum before completing this application form and making investment.

Applications can be made at the office of CT CLSA Asset Management (Pvt) Limited or through any branch or authorized marketing agent appointed by the management company.

Investment of a minor (Under 18 years) should always be accompanied by a guardian as a joint holder and the guardian should sign the application form. The dividends as and when distributed will be cumulatively reinvested until the minor attains 18 years of age

Redemptions can only be done after minor attains 18 years of age.

In case of corporate applicants, the common seal of the company should be affixed and attested as required by articles of association.

The manager reserves the right without assigning any reason whatsoever to refuse to allot any units or allot such number of units as they may decide in their absolute discretion.

Any change of address/ dividend instructions should be notified immediately in writing to the manager – CT CLSA Asset Management (Pvt) Limited, 4th floor, Majestic City, 10 Station Road, Colombo 4.

Mode of Payment

Payment should be made separately in respect of each application, either in cash or by cheque/ Bankers draft in favor of account name according to the fund which you are able to invest as following and crossed A.C Payee only. Payments will be treated as valid only on realization. **Payment maybe made by cash only to “CT CLSA Asset Management (Pvt) Ltd” Office.**

An investment can be made by depositing money as following
(Pease write your name and ID number clearly on deposit slip)

Fund	Account Name	Account Number	Bank	Branch
CT CLSA Equity Fund (Former Comtrust Equity Fund)	CT CLSA Asset Management (Pvt) Ltd	1020087201	Commercial Bank	City Office
CT CLSA High Yield Fund (Former Comtrust Money Market Fund)	CT CLSA High Yield Fund	0030 1052 8647	Hatton National Bank	Head Office
CT CLSA Gilt Edged Fund (Former Comtrust Gilt Edged Fund)	CT CLSA Gilt Edged Fund	0030 1052 8656	Hatton National Bank	Head Office

No marketing agent (Except CT CLSA Asset Management (Pvt) Ltd) is permitted to accept cash from investors for the purchase of units of funds managed by CT CLSA Asset Management (Pvt) Ltd.

Investments in unit trusts as well as proceeds on redemption of units shall be in Sri Lankan rupees only.

CT CLSA Asset Management (Pvt) Limited

4th Floor, Majestic City, 10 Station Road, Colombo 4.

Tel : 0115759570/0112506204/0112506347

www.ctclsa.lk



CT CLSA ASSET MANAGEMENT (PVT) LTD
Know Your Customer (KYC) Profile
For Non Individuals Only

Name of Participant
Name of the Applicant
Date

SELECT X FUND

CT CLSA High Yield Fund

☐

CT CLSA Equity Fund

☐

CT CLSA Gilt Edged Fund

☐

CT CLSA Money Market Fund

☐

CT CLSA Growth Equity Fund

☐

CT CLSA Income Fund

☐

SECTION A - Basic Account Information

1. Nature of the entity : Please tick (X)

<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Limited Liability Companies

Global and Regional Fund

Statutory Body

Other Please - Please specify _____

<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Public Limited Company

Local Fund

A Body established under An Act Of Parliament

2. Status - Please tick (x)

☐

Local

☐

Foreign

SECTION B - Address and Contact details of the Applicant

1. Address & Contact Details of the Applicant:

2. Name of the Key Contact Person

3. Telephone No. (Office)

4. Mobile Number

5. Fax Number

6. E-Mail Address

SECTION C- Other Details

1. Nature of Business - (Product / Service Provide)

2. Expected Value of Investment per annum : Please tick (X)

Rs. 5,000,000 to Rs. 10,000,000

☐

Rs. 10,000,000 to Rs.50,000,000

☐

Over Rs. 50,000,000

☐

3. Source of Funds: Please tick (X)

Sales & business turnover

☐

Profit / Income

☐

Membership Contribution

☐

Sales of property/Assets

☐

Contract Proceeds

☐

Investment Proceeds

☐

Commission Income

☐

Export Proceeds

☐

Other _____

4. Other Connected Business / Professional activities and business interests

5. Other Details/ Remarks/Notes (if any)

SECTION D- DIRECTORS / MAJOR SHAREHOLDERS INFORMATION

If your entity is not a Company please provide the details of Trustees / Office Bearers / Sponsors / Partners as applicable to your entity

Directors & Major Shareholders who are Ultimate Beneficiaries (Holding 10% or More Voting Shares)	NIC or Passport Number	Country of Issue / Citizenship	% of Shares Held	Address	PEP Status* (Yes or No)

DECLARATION

I/we hereby declare that the details furnished above are true and correct to the best of my knowledge and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby authorize sharing of the information furnished on this form with all SEC registered KYC registration Agencies.

Authorized Signature/ Company Seal)

Date

Authorized Signature/ Company Seal)

Date

Name 1:

Name 2:

NIC No:

NIC No:

Title:

Title:

Date of Birth:

Date of Birth: